

FORM PTO-1390  
(REV 12-29-99)ATTORNEY DOCKET NUMBER  
2896-4006TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.51)

TBA 10/089674

INTERNATIONAL APPLICATION  
PCT/CN00/00043INTERNATIONAL FILING DATE  
03 MARCH 2000 (03.03.00)PRIORITY DATE CLAIMED  
03 MARCH 2000 (03.03.00)

TITLE OF INVENTION

A MEDICAMENT FOR TREATING AIDS

APPLICANT(S) FOR DO/EO/US

Shuwen, LEE

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39 (1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International application into English (35 U.S.C. 371(c)(2)) together with an *Verification of Translation*.
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Duly Executed Combined Declaration and Power of Attorney)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included.

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with a copy of the International Search Report and copies of the cited references.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☒ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or Information:  
Copy of WIPO publication No. WO 01/64211 and English translation thereof;  
Copy of the International Search Report;  
Verified Statement Claiming Small Entity Status;  
EXPRESS MAIL MAILING LABEL NUMBER EV 062 747 084US

Docket No. 2896-4006

IN THE UNITED STATES

[ ] RECEIVING OFFICE (RO/US)  
[X] DESIGNATED OFFICE (DO/US)  
[X] ELECTED OFFICE (EO/US)

INTERNATIONAL APPLICATION NO. PCT/CN00/00043	INTERNATIONAL FILING DATE 03 MARCH 2000 (03.03.00)	PRIORITY DATE CLAIMED 03 MARCH 2000 (03.03.00)
TITLE OF INVENTION A MEDICAMENT FOR TREATING AIDS		
APPLICANT(S) Shuwen LEE		

Commissioner for Patents  
Box PCT  
Washington, D.C. 20231  
Attention: DO/EO/US

VERIFIED CERTIFICATION OF EXPRESS MAILING DATE  
(INTERNATIONAL APPLICATION (37 CFR 1.10(c)))

I declare that on April 2, 2002 I deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number **EV 062 747 084US**, addressed to the "Commissioner for Patents, Box PCT, Washington, D.C. 20231, Attention: DO/EO/US" and having an express mail certification which I executed, the following papers:

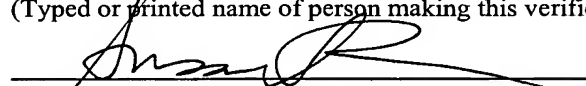
The English translation of the international application, consisting of:

- 24 pages of specification
- 1 page of Abstract
- 3 page of claims
- Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371, duly executed, in duplicate;
- Preliminary Amendment;
- Executed Declaration and Power of Attorney
- Copy of the International Search Report;
- Copy of WO 01/64211 and English translation thereof;
- Verified Statement Claiming Small Entity Status;
- Check of \$520.00 for filing fee;
- Verified Certification of Express Mailing Date (International Application (37 CFR 1.10 (c)));
- Return receipt postcard

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Susan Shen  
(Typed or printed name of person making this verified statement)

Date 02 April 2002

  
(Signature of person making this verified statement)

(Verified Certification of Express Mailing Date (International Application) [13-12])

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.51) <b>TBA 10/089674</b>		INTERNATIONAL APPLICATION NO. <b>PCT/CN00/00043</b>		ATTORNEY'S DOCKET NO. <b>2896-4006</b>	
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE</b> (37 CFR 1.492 (a) (1) - (5) ): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$ 1,040.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..\$ 890.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2) paid to USPTO....\$ 740.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33 (1) - (4).....\$ 710.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) - (4).....\$ 100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS</b> PTO USE ONLY	
				\$ 1,040.00	
				\$	
				\$	
Surcharge of \$130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	4- 20 =	0	X \$18.00	\$ 0	
Independent claims	1- 3 =	0	X \$80.00	\$ 0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			X \$270.00	\$ 0	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1,040.00	
Reduction of ¼ for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$520.00	
<b>SUBTOTAL =</b>				\$520.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$520.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0	
<b>TOTAL FEES ENCLOSED</b>				\$520.00	
				Amount to be refunded:	\$
				charged	\$
a. <input checked="" type="checkbox"/> One check in the amount of \$ <u>520.00</u> to cover the above fees are enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 13-4500 in the amount of \$ _____ cover the above fees. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-4500, Order No. <u>2896-4006</u> . A duplicate copy of this sheet is enclosed.					
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:  Maria C.H. Lin MORGAN & FINNEGAN, LLP 345 Park Avenue New York, New York 10154-0053 Phone (212)758-4800 Facsimile (212)751-6849					
_____ SIGNATURE  Maria C.H. Lin Registration Number 29,323  <u>April 2, 2002</u> Date					